

UNIVERSITY HOSPITAL BIRMINGHAM NHS FOUNDATION TRUST

Guardian of Safe Working: Quarterly Report: Quarter 1 (2018-2019): Date period: 1/8/18 – 31/10/18

It remains a requirement of the new 2016 Junior Doctor contract for the trust Guardian of Safe Working (GSW) to hold responsibility for ensuring that issues of compliance with safe working hours are addressed in accordance with the terms and conditions of the new Junior Doctor contract - this includes the overall responsibility for overseeing the Junior Doctors' Exception Reporting (ER) process. The GSW is required to submit a report at least quarterly, on the analysis of the ERs submitted by junior doctors with an extended Annual Report to the Trust Board. It was agreed, following the Q1 report submitted to the Board on 30/3/17 that all future quarterly reports would be presented through the Performance Report structure. A final Annual Report at the end of each academic year will be produced to coincide with the major house change.

1. SUMMARY OF EXCEPTION REPORTS IN PERIOD:

Junior Doctor Exception reports as at 31/10/18 for Q1 (18/19) period are summarised in tables 1a and 1b below. The full spreadsheet(s) (Appendix 1a/1b) is anonymised and indexed by rota:

TABLE 1a		
QEHB Exception Reports:	Number:	Comments:
Hours ERs	35	2 of which ISC*
Education ERs	5	
Pattern of work ERs	0	
Service Support ERs	1	
Total ERs for period Q1 (18/19):	41	
Table 1b		
HGS Exception Reports:	Number:	Comments:
Hours ERs	34	
Education ERs	1	
Pattern of work ERs	4	
Service Support ERs	0	
Total ERs for period Q1 (18/19):	39	

*Immediate Safety Concern

- Immediate Safety Concerns
The two ISCs were both reviewed within 24 hours –The safety concerns were already dealt with by the doctors at the time of reporting
- Guardian Fines
No GSW fines during period.

2. AREAS OF SIGNIFICANT TREND IN PERIOD:

TABLE 2a QEHB			
Rota ID:	No. of ERs:	Issues & concerns:	Actions/Resolutions:
RRK054 Cardiology CT	9 (12)	Cardiol: Middle grade doctor vacancy in Cardiology plus part time staff on rota. F1s have worked over to help cover the workload. All Exception reports have been approved.	Cardiol: Locum and JSDs in post from November and December 2018 should ease the situation.
RRK017 Medicine Foundation	6 (8)	Gastro: Unprecedented workload on ward 727 with both high number of patients with high complexity and challenging behaviour. This was compounded by an F2 vacancy. Issues were late finish and authorised workover and F1 doctors missing Thursday afternoon teaching.	Gastro: Overtime work was approved with payment. Consultants and SpRs ensured F1s attend their mandatory Thursday teaching. The workload has since returned to the baseline for Gastro. All junior doctors were instructed to discuss any workover with consultant or SpR at close of working day and any unauthorised workover submitted as ERs was not approved in line with trust policy

TABLE 2b HGS				
Rota ID:	Hosp. Site:	No. of ERs:	Issues & concerns:	Actions/Resolutions:
RP1 005 Gen Med F1	HH	8 (14)	Workovers and failure to attend teaching due to: <ul style="list-style-type: none"> • Low staffing • Vacancies • Sickness cover • Incorrect rostering 	Following recent appointment of Dep GSW (HGS) all rotas will be under urgent review with Clinical Service Leads. We are continuing to assign any juniors and consultants not on Allocate software registered. Funding for Admin support for rota management and exception reporting at HGS site is currently being sought.
RP1 007 Gen Med StR 1-2	HH	6 (22)		
RP1 016 Gen Surg ST1-2	HH	8		

3. ROTA GAPS:

At 31/10/18 the Clinical Divisions have reported the following rotas gaps:

TABLE 3a QEHB			
Rota I.D.:	Number JDs on template:	Template 'Gaps':	Locums: Full <u>OR</u> OOH only:
RRK003 (2)	8	1.8	OOH
RRK003 (4)	8	2.4	OOH
RRK003 (6)	8	1.4	OOH
RRK003 (9)	8	6.8	OOH
RRK017	16	1	Full
RRK017a	12	1	OHH
RRK021	21	5	3 Full, 2 OHH
RRK024	14	1	OHH
RRK048	6	1	0
RRK045	10	2.4	0
RRK028	12	1	Full
RRK027	8	3	OHH
RRK043	10	3	Full
RRK044	10	1	Full
RRK044a	6	1	Full

TABLE 3b HGS				
Rota I.D.:	Hosp Site:	Number JDs on template:	Template 'Gaps':	Locums: Full <u>OR</u> OOH only:
INFORMATION NOT AVAILABLE				

4. GUARDIAN EXCEPTION REPORTING REVIEW GROUPS (GERRG):

QEHB GERRG meeting was held on 10/9/18 with junior doctors representatives in attendance; the purpose of the meetings was to review the Q4 (17/18) exception reports and related matters. Notes of the meeting are available separately.

Dates for a GERRG* at HGS have been finalised and interest has been registered by juniors doctors to attend the meetings.

5. OTHER:

The Guardian of Safe Working (GSW) is satisfied that the QEHB Exception Reports raised in Q1 (18/19) were dealt with appropriately.

Following appointment of the Deputy Guardian of Safe Working, progress is being made at HGS in setting up leads for exception reporting at the Heartlands, Good Hope and Solihull sites. The responsibility for administering

and monitoring the exception reporting system will be transferred to JDMO, this will replicate the working of the QEHB sites and facilitate speedier resolution of the exception reporting. Following expansion of the team, work schedule reviews and assessment of suitability of HGS template rotas/working arrangements will be undertaken in order to regulate exception reports resulting from routine workovers and inappropriate rostering.

Dr J Goh
Guardian of Safe Working
University Hospital Birmingham NHSFT
31/11/18

18-19 Q1 GSW Quarterly Report Aug-Oct 2018 final